## APPLICATION FOR LIQUOR LICENSE CHECKLIST

301 CENTENNIAL MALL SOUTH PO BOX 95046 LINCOLN, NE 68509-5046 PHONE: (402) 471-2571 FAX: (402) 471-2814 Website: www.lcc.ne.gov



I 100 1/09 GE I

Applicant Name Karina M. Lupercio

Trade NamePrevious Trade Name	
E-Mail Address:	88397
Provide all the items requested. Failure to provide any item will cause this application to be returned or hold. All documents must be legible. Any false statement or omission may result in the denial, suspensicancellation or revocation of your license. If your operation depends on receiving a liquor license, the N Liquor Control Commission cautions you that if you purchase, remodel, start construction, spend or comthat you do so at your own risk. Prior to submitting your application review the application carefully to all sections are complete, and that any omissions or errors have not been made. You may want to check city/village or county clerk, where you are making application, to see if any additional requirements must before submitting application to the state.	placed on ion, Jebraska imit money ensure that with the
REQUIRED ATTACHMENTS	
Each item must be checked and included with application or marked N/A (not applicable)	
1. Fingerprint cards for each person (two cards per person) must be enclosed with a check payable Nebraska State Patrol for processing in the amount of \$38.00 per person. All areas must be completed on per brochure.	e to the n cards as
2. Enclose registration fee for the appropriate class of license, made out to the Nebraska Liquor Commission.	ontrol
3. Enclose the appropriate application forms; Individual License – Form 1; Partnership License – Corporate - Form 3a; Limited Liability Form (LCC) – Form 3b. Corporate Form 3a and LLC Form 3b reCorporate Manager application – Form 3c.	Form 2; equires
4. If building is being leased send a copy of the lease. Be sure it reads in the individual(s), corpor name being applied for. Also, the lease must extend through the license year being applied for. If building send a copy of the deed or purchase agreement in appropriate name.	rate or LLC ng owned,
5. If you are buying the business of a current licensee, provide a copy of the purchase agreement f licensee. This also needs to be in appropriate applicant's name.	rom
6. If wishing to run on current liquor license enclose temporary agency agreement (must be Comform only, must include copy of signature card from the bank showing both the seller and buyers naccount).	ame on

7. Copy of alcohol inventory being purchased. Inventory shall include brand names and container sizes. Inventory may be taken at the time application is being submitted.
8. Enclose a list of any inventory or property owned by other parties that are on the premise.
9. For individual, partnership and LLC enclose proof of citizenship; copy of birth certificate (certificate from the State where born, not hospital certificate), naturalization paper or passport, for all applicants, members and spouses.
10. If corporation or LLC enclose a copy of articles as filed with the Secretary of States Office. This document must show barcode.
11. Check with local governing bodies for any further requirements or restrictions.

I acknowledge that this application is not a guarantee that a liquor license will be issued to me, and that the average processing period is 45-60 days. Furthermore, I understand that all the information is truthful and I accept all responsibility for any false documents.

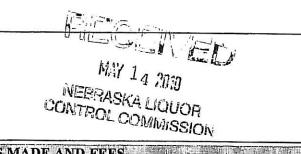
12. If you have a business plan, please submit a copy.

Signature

## APPLICATION FOR LIQUOR LICENSE

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Firm Name Derek L Mitchell P.C.

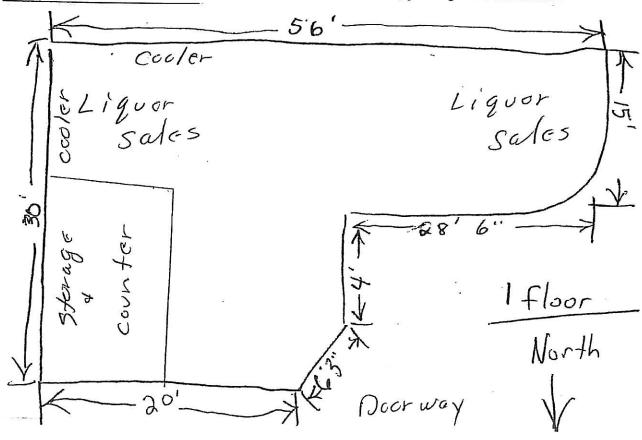


CLASS OF LICENSE FOR WHICH APPLICATION IS MADE AND FEES CHECK DESIRED CLASS(S) RETAIL LICENSE(S) **Application Fee** BEER, ON SALE ONLY A \$45.00 B BEER, OFF SALE ONLY \$45.00 C BEER, WINE & DISTILLED SPIRTS, ON & OFF SALE \$45.00 D BEER, WINE & DISTILLED SPIRITS, OFF SALE ONLY \$45.00 Ι BEER, WINE & DISTILLED SPIRITS, ON SALE ONLY \$45.00 Class K Catering license (requires catering application form) \$100.00 MISCELLANEOUS Application Fee Bond Required L Craft Brewery (Brew Pub) \$295.00 \$1,000 minimum O Boat \$ 95.00 none V Manufacturer Alcohol & Spirits \$1,000 minimum \$1.045.00 Beer (excluding produced by a craft brewery) \$145.00 1 to 100 barrel\* \$1,000 minimum Beer (excluding produced by a craft brewery) \$245.00 100 to 150 barrel\* \$1,000 minimum Beer (excluding produced by a craft brewery) \$395.00 150 to 200 barrel\* \$1,000 minimum Beer (excluding produced by a craft brewery) \$545.00 200 to 300 barrel\* \$1,000 minimum Beer (excluding produced by a craft brewery) \$695.00 300 to 400 barrel\* \$1,000 minimum Beer (excluding produced by a craft brewery) \$745.00 400 to 500 barrel\* \$1,000 minimum W Wholesale Beer \$545.00 \$5,000 minimum X Wholesale Liquor \$795.00 \$5,000 minimum Y Farm Winery \$295.00 \$1,000 minimum Z Micro Distillery \$295.00 \$1,000 minimum Copy of TTB permit (if applying for L, V, W, X, Y or Z) \*daily capacity, average daily barrel production for the previous twelve months of manufacturing operation. If no such basis for comparison exists, the manufacturing licensee shall pay in advance for the first year's operation a fee of five hundred dollars All Class C licenses expire October 31st All other licenses expire April 30th Catering license (K) expires same as underlying retail license TYPE OF APPLICATION BEING APPLIED FOR (CHECK ONE) Individual License (requires insert form 1) Partnership License (requires insert form 2) Corporate License (requires insert form 3a & 3c) Limited Liability Company (requires form 3b & 3c) NAME OF PERSON OR FIRM ASSISTING WITH APPLICATION (commission will call this person with any questions we may have on this application) Name Derek L. Mitchell Phone number: 308-324-6909

Trade Name (doing business as) "K" Liq	uors	
Street Address #1 200 East 4th		
Street Address #2		
<sub>City</sub> Lexington	<sub>County</sub> Dawson	Zip Code 68850
Premise Telephone number 308-651-0	0061	
s this location inside the city/village corpor	rate limits: X YES	□ NO
Mail address (where you want receipt of ma	ail from the commission)	
<sub>Vame</sub> Karina Lupercio		
treet Address 1 1007 N. Jackson		
treet Address 2_		
<sub>ity</sub> Lexington	State NE	Zip Code 68850

ohol will take place. If only a portion of the building is to be covered by the license, you must still include dimensions (length x width) of the licensed area as well as the dimensions of the entire building in situations. No blue prints please. Be sure to indicate the direction north and number of floors of the building.

\*\*For on-premise consumption liquor licenses minimum standards must be met by providing at least two restrooms



APPLICANT INFORMATION
1. READ CAREFULLY. ANSWER COMPLETELY AND ACCURATELY.  Has anyone who is a party to this application, or their spouse, EVER been convicted of or plead guilty to any charge. Charge means any charge alleging a felony, misdemeanor, violation of a federal or state law; a violation of a local law, ordinance or resolution. List the nature of the charge, where the charge occurred and the year and month of the conviction or plea. Also list any charges pending at the time of this application. If more than one party, please list charges by each individual's name.  YES   NO
If yes, please explain below or attach a separate page.  ###################################
2. Are you buying the business and/or assets of a licensee?  YES NO  If yes, give name of business and license number CK Liquors - expired licensee-unk. number  a) Submit a copy of the sales agreement including a list of the furniture, fixtures and equipment.  b) Include a list of alcohol being purchased, list the name brand, container size and how many?  Attached
3. Are you filing a temporary agency agreement whereby current licensee allows you to operate on their license?  YES  NO  If yes, attach temporary agency agreement form and signature card from the bank.  This agreement is not effective until you receive your three (3) digit ID number from the Commission.
4. Are you borrowing any money from any source to establish and/or operate the business?  X YES NO  If yes, list the lender Pinnacle Bank/ 410 N. Washington/Lexington, NE 68850
5. Will any person or entity other than applicant be entitled to a share of the profits of this business?  YES  NO  If yes, explain. All involved persons must be disclosed on application.
6. Will any of the furniture, fixtures and equipment to be used in this business be owned by others?  YES  NO If yes, list such items and the owner.
7. Will any person(s) other than named in this application have any direct or indirect ownership or control of the business?  YES  NO  If yes, explain.  No silent partners



Criminal Record for Jonathan Lupercio- not a part of license/Spousal Affidavit signed.

- 1) Curfew Violation/3-29-01/Lexington, NE/\$50.00 fine
- 2) 2<sup>nd</sup> Deg. Assault/12-03-2001/Lexington, NE/ 120 days jail
  3) Driving Under Suspension/4-05-2010/Lexington, NE/\$100.00 fine
- 4) Driving Under Suapension/02-02-2006/Lincoln, NE/\$100.00 fine
- 5) Poss. Drug Paraphernalia/02-27-2006/Lincoln, NE/\$100.00 fine
- 6) Driving Under Suspension/03-29-2006/Lincoln, NE/\$100.00 fine
- 7) No fishing permit/09-30-2006/Lincoln, NE/ \$75.00 fine
- 8) Driving Under Suspension/01-15-2007/Lincoln, NE/ \$100.00 fine
- 9) Driving Under Suspension/12-03-2006/Lincoln, NE/\$100.00 fine
- 10) Attempted poss. Firearm by felon/10-26-2002/Lexington, NE/ probation 24 months

veterans, their wives, children, or within 300  YES  NO  If yes, list the name of such institution and w	feet of a	college	or university campus?		sons or for
9. Is anyone listed on this application a law of YES NO If yes, list the person, the law enforcement agduties					
10. List the primary bank and/or financial in who will be authorized to write checks and/or	stitution r withdra	(branch i wals on	f applicable) to be utilized by the busin accounts at the institution.	ness and the ir	dividual(s)
Pinnacle Bank/410 N. Washington/Lexington, NE 68850  11. List all past and present liquor licenses h Include license holder name, location of licent previously held.	eld in Ne se and li	ebraska o cense nu	r any other state by any person named mber. Also list reason for termination	in this applica	ation. e(s)
<ul> <li>12. List the training and/or experience (when listed as followed: <ul> <li>a) Individual, applicant only (no spouse)</li> <li>b) Partnership, all partners (no spouse)</li> <li>c) Corporation, manager only (no spouse)</li> <li>d) Limited Liability Company, manager</li> </ul> </li> </ul>	ise) es) ouse)			e persons requ	ired are
	ate:		Vhere:		
Waitress	2008		Premier Night Club-Lexing	jton, NE	
13. If the property for which this license is so submit a copy of the lease covering the entire owner or lessee in the individual(s) or corpora   Lease: expiration date 06-1-2011  Deed Purchase Agreement	license y	ear. Do	cuments must show title or lease held i	wnership. If lein name of app	eased, olicant as
<ul><li>14. When do you intend to open for business?</li><li>15. What will be the main nature of business?</li><li>16. What are the anticipated hours of operation</li></ul>	off site liqu	or sales	00 mldnight		
17. List the principal residence(s) for the past separate sheet.	10 years	for all p	ersons required to sign, including spot	ises. If necess	sary attach a
RESIDENCES FOR THE PA	ST 10 YI	EARS, Al	PPLICANT AND SPOUSE MUST COM	<b>IPLETE</b>	
APPLICANT: CITY & STATE	FROM	EAR TO	SPOUSE: CITY & STATE	FROM	EAR TO
Lexington, NE	1997	2004	Lexington,NE	1997	2004
Lincoln,NE	2004	2009	Lincoln,NE	2004	2009
Lexington,NE	2009	present	Lexington,NE	2009	present
	l				

The undersigned applicant(s) hereby consent(s) to an investigation of his/her background investigation and release present and future records of every kind and description including police records, tax records (State and Federal), and bank or lending institution records, and said applicant(s) and spouse(s) waive(s) any right or causes of action that said applicant(s) or spouse(s) may have against the Nebraska Liquor Control Commission, the Nebraska State Patrol, and any other individual disclosing or releasing said information. Any documents or records for the proposed business or for any partner or stockholder that are needed in furtherance of the application investigation of any other investigation shall be supplied immediately upon demand to the Nebraska Liquor Control Commission or the Nebraska State Patrol. The undersigned understand and acknowledge that any license issued, based on the information submitted in this application, is subject to cancellation if the information contained herein is incomplete, inaccurate or fraudulent.

Individual applicants agree to supervise in person the management and operation of the business and that they will operate the business authorized by the license for themselves and not as an agent for any other person or entity. Corporate applicants agree the approved manager will superintend in person the management and operation of the business. Partnership applicants agree one partner shall superintend the management and operation of the business. All applicants agree to operate the licensed business within all applicable laws, rules regulations, and ordinances and to cooperate fully with any authorized agent of the Nebraska Liquor Control Commission.

Must be signed in the presence of a notary public by applicant(s) and spouse(s). If partnership or LLC (Limited Liability Company), all partners, members and spouses must sign. If corporation all officers, directors, stockholders (holding over 25% of stock and spouses). Full (birth) names only, no initials.

Signature of Applicant	Signature of Spouse
Signature of Applicant	Signature of Spouse
Signature of Applicant	Signature of Spouse
Signature of Applicant	Signature of Spouse
Signature of Applicant	Signature of Spouse
State of Nebraska	
County of Dawson	County of Dawson
The foregoing instrument was acknowledged before me this May 11, 2010 by	The foregoing instrument was acknowledged before me this May 11, 2010 by
Karina Lupercio  Notary Public signature	Jonathan Lupercio  Cerus Judico Signature
Affix Seal Here  A GENERAL NOTARY - State of Nebraska  DEREK L. MITCHELL  My Comm. Exp. April 21, 2011	Affix Seal Here A GENERAL NOTARY - State of Nebraska  III DEREK L. MITCHELL  My Comm. Exp. April 21, 2011

in compliance with the ADA, this manager insert form 3c is available in other formats for persons with disabilities. A ten day advance period is required in writing to produce the alternate format.

## APPLICATION FOR LIQUOR LICENSE INDIVIDUAL INSERT – FORM 1

NEBRASKA LIQUOR CONTROL COMMISSION 301 CENTENNIAL MALL SOUTH PO BOX 95046 LINCOLN, NE 68509-5046 PHONE: (402) 471-2571 FAX: (402) 471-2814 Website: www.lcc.ne.gov

Office Use	

Individual applicants, including spouse, are required to adhere to the following requirements

- 1) Must be a citizen of the United States
- 2) Must be a Nebraska resident (Chapter 2 006)
- 3) Must provide a copy of their certified birth certificate or INS papers
- 4) Must submit their fingerprints (2 cards per person)
- 5) Must sign the signature page of the Application for License form
- 6) Applicant may be required to take a training course

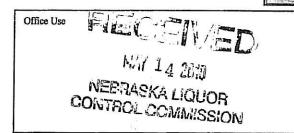
Name of individual appli	cant who will l	nold license		
LUPERICO Last Name:	and the state of t	anne a seument au en tress pares (Six album) (Six album) (Six album)		
KARINA First Name:			MI:	
Home Address:	CKCON		Zip Code:	
Social Security Number:	309 651 0061	70 11-18-1985 Date of Birth:		
Home Telephone Number				
Drivers License Number: H12920817		State:		
Are you married? (Please required to be listed below	note if the abo	ve listed individual is separated, etc. s	pouse's information is still	
• YES	□NO	If yes, provide your spouse's info		
Spouses Last Name: LUPE	ERCIO			
Spouses First Name:	THAN		MI: A.	
Social Security Number:	46-85-7943	Date of Birth:	02-08-1985	
	H12852333		NE tate:	

In compliance with the ADA, this individual insert form 1 is available in other formats for person with disabilities. A ten day advance period is required in writing to produce the alternate format.

## SPOUSAL AFFIDAVIT OF NON PARTICIPATION INSERT

NEBRASKA LIQUOR CONTROL COMMISSION 301 CENTENNIAL MALL SOUTH PO BOX 95046 LINCOLN, NE 68509-5046 PHONE: (402) 471-2571 FAX: (402) 471-2814 Website: www.lcc.ne.gov

a not !



I acknowledge that I am the spouse of a liquor license holder. My signature below confirms that I will have not have any interest, directly or indirectly in the operation or profit of the business (§53-125(13)) of the Liquor Control Act. I will not tend bar, make sales, serve patrons, stock shelves, write checks, sign invoices or represent myself as the owner or in any way participate in the day to day operations of this business in any capacity. I understand my fingerprint will not be required; however, I am obligated to sign and disclose any information on all applications needed to process this application.

Jonathan A. Lupercio

Signature of spouse asking for waiver (Spouse of individual listed below)	Printed name of spouse asking for waiver
State ofNebraska	_
County of	The foregoing instrument was acknowledged before me this
4-29-2010	Jonathan A. Lupercio
Notary Public signature	Affix S at GENERAL NOTARY - State of Nebraska DEREK L. MITCHELL My Comm. Exp. April 21, 2011
I acknowledge that I am the spouse of the above listed in compliance with the conditions set out above. If it is det Commission may cancel or revoke the liquor license.	ndividual. I understand that my spouse and I are responsible for termined that the above individual has violated (§53-125(13)) the Karina A. Lupercio
Signature of individual involved with application (Spouse of individual listed above)	Printed name of applying individual
State of	· ·
County of Dawson  4-29-2010 by date	The foregoing instrument was acknowledged before me this Karina M. Lupercio
Notary Public signature	Affix Social GENERAL NOTARY - State of Nebraska DEREK L. MITCHELL My Comm. Exp. April 21, 2011

In compliance with the ADA, this spousal affidavit of non participation is available in other formats for persons with disabilities. A ten day advance period is requested in writing to produce the alternate format.